

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----x  
In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,  
Debtors.

:  
:  
:  
Chapter 11 Case No.

:  
:  
:  
08-13555 (JMP)  
(Jointly Administered)

:  
:  
-----x  
Ref. Docket No. 21224

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

PETE CARIS, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On October 26, 2011, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Pete Caris  
Pete Caris

Sworn to before me this  
1<sup>st</sup> day of November, 2011  
/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

## **EXHIBIT A**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X	
	:
<b>In re</b>	:
	:
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	:
	:
<b>Debtors.</b>	:
	:
-----X	

**Chapter 11 Case No.**  
**08-13555 (JMP)**  
**(Jointly Administered)**

**NOTICE OF DEFECTIVE TRANSFER**

Transferor: GEO HIGH INCOME I LTD.  
BY: EAST RIVER MGMT ITS INVESTMENT ADVISOR  
41SCHERMERHORN ST SUITE # 218  
BROOKLYN, NY 11201-4802

w/ Notice to: LAW OFFICE OF JOHN C DOHERTY  
JOHN C DOHERTY  
469 SEVENTH AVE, STE 204  
NEW YORK, NY 10018

Transferee: DEUTSCHE BANK AG, LONDON BRANCH  
C/O DEUTSCHE BANK SECURITIES, INC.  
ATTN: MATT WEINSTEIN  
60 WALL STREET, 3<sup>RD</sup> FLOOR  
NEW YORK, NY 10005

**Your transfer of claim # 800002419 is defective for the reason(s) checked below:**

CLAIM ON TRANSFER AGREEMENT IS FOR LEHMAN BROTHERS INC. (SIPA PROCEEDING)

Refer to docket number 21224 in your objection.

If you file an objection, a hearing will be scheduled.

If your objection is not timely filed, the transferee will be substituted on our records as the claimant.

/s/ Craig Fallon

Epiq Bankruptcy Solutions LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 26, 2011.

## **EXHIBIT B**

TREASURY SERVICES SA  
41 SCHERMERHORN ST, SUITE 218  
BROOKLYN, NY 10080

GEO HIGH INCOME I LTD.  
BY: EAST RIVER MGMT ITS INVESTMENT ADVISOR  
41SCHERMERHORN ST SUITE # 218  
BROOKLYN, NY 11201-4802

LAW OFFICE OF JOHN C DOHERTY  
JOHN C DOHERTY  
469 SEVENTH AVE, STE 204  
NEW YORK, NY 10018

DEUTSCHE BANK AG, LONDON BRANCH  
C/O DEUTSCHE BANK SECURITIES, INC.  
ATTN: MATT WEINSTEIN  
60 WALL STREET, 3<sup>RD</sup> FLOOR  
NEW YORK, NY 10005